

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Moon, et al.

Serial No.: 10/025,144

Confirmation No.: 5051

Filed:

December 18, 2001

For:

Method and Apparatus for

Polishing Metal and Dielectric Substrates

MAIL STOP RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Group Art Unit: 2813

Examiner:

Stephen W. Smoot

CERTIFICATE OF MAILING 37 CFR 1.8

I hereby certify that this correspondence is being deposited on October 28, 2003, with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

10/18/2003 Date

Signature

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/5999.Y1/KMT.

Respectfully submitted,

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Sheet 1 of 1 sheets U.S. Department of Commerce, Patent and Trademark Office Serial No. Docket No. (PTO Form 1449 modified) AMAT/5999, Y1 10/025.144 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant Confirmation No. Moon, et al. 5051 OCT 2 8 2003 (Use several sheets if necessary) Filing Date Group Examiner Stephen W. Skoot December 18, 2001 2813 PACE Mi ot **U.S. Patent Documents** *Examiner Document Issue Applicant(s) Class Subclass Filing Date If Initial Number Date Name Appropriate **A1** 5,786,275 07/28/1998 Kubo 438 692 05/30/1997 **A2** 6,482,743 11/19/2002 Sato 438 692 09/13/2000 **A3** 6,451,697 09/17/2002 Sun, et al. 438 691 04/06/2000 A4 6,569,349 05/27/2003 Wang, et al. 252 79.1 10/23/2000 **A5** 6,579,153 451 06/17/2003 Uchikura, et al. 41 01/09/2001 A6 6,602,436 08/05/2003 Mandigo, et al. 216 88 08/09/2001 A7 6,616,717 09/09/2003 Sachan, et al. 51 298 05/16/2001 **8A** 2001/0024933 09/27/2001 Sachan, et al. 451 41 05/16/2001 A9 2002/0058426 438 05/16/2002 Mandigo, et al. 792 08/09/2001 A10 2003/0022497 01/30/2003 Li, et al. 438 690 07/11/2002 A11 A12 A13 F r ign Patent Documents *Examiner Document Date Country Class Subclass Translation Initial Number YES NO **B1** П П B₂ **B**3 **B4 B**5 **OTHER ART** *Examiner Including Author, Title, Date, Initial Pertinent Pages, Etc. C₁ C2 C3 Examiner **Date Considered** *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through

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